

10/02/01  
JC904 U.S. PRO

10-04-01

A

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Our Docket No.: <b>1194.12-0002</b>	Date: <b>October 2, 2001</b>
First Named Inventor: <b>Kuldeep K. Dhar et al.</b>	
Title: <b>OBJECT BASED WORKFLOW SYSTEM AND METHOD</b>	
Express Mail No.: <b>EL800726245US</b>	

J1046 U.S. PRO  
09/970312  
10/02/01

APPLICATION ELEMENTS

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Calculation Sheet  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status
3. ☒ Specification Total Pages **[44]**
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed. Sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
4. ☒ Drawings (35 U.S.C. 113) Total Sheets **[10]**
5. ☒ Oath or Declaration Total Pages **[2]**
  - a. ☒ Newly Executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. 1.63(d) - for continuation/divisional with Box 18 completed)

**[Mark Box 5 below]**  
I. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b)
6. ☒ Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission (*If applicable, all necessary*)
  - a. ☐ Computer Readable Copy (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies; or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

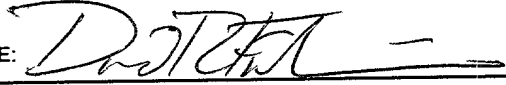
9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. 3.73(b) Submission
11. ☒ Power of Attorney
12. ☐ English Translation Document (*if applicable*)
13. ☒ Information Disclosure Statement with copies of Citations as necessary Total Pages **[ ]**
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard (*Should be specifically itemized*)
16. ☐ Certified Copy of Priority document(s) (*if foreign priority is claimed*)
17. ☐ Request and Certification under 35 U.S.C.122(b)(2)(B)(i). (Nonpublication Request)
18. ☐ Other

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior Application No.

Prior Application Information: Examiner          Group Art Unit           
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

ATTY NAME AND REG. NO.	<b>David R. Fairbairn Reg. No. 26,047</b>	SIGNATURE: 
ADDRESS	<b>Kinney &amp; Lange, P.A. THE KINNEY &amp; LANGE BUILDING 312 South Third Street Minneapolis, MN 55415-1002</b>	
TELEPHONE	<b>(612) 339-1863</b>	FAX: <b>(612) 339-6580</b>

FEE TRANSMITTAL	Complete if Known	
	Application No.	
	Filing Date	October 2, 2001
	First Named Inventor	Kuldeep K. Dhar
	Group Art Unit	
	Examiner Name	
Total Amount of Payment \$830.00	Atty. Docket Number	1194.12-0002

METHOD OF PAYMENT (Check One)	FEE CALCULATION (Continued)																																																																																																																																									
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account <u>No.11-0982</u>.</p> <p>2. <input checked="" type="checkbox"/> Check Enclosed</p>	<p><b>3. ADDITIONAL FEES</b></p> <table style="width:100%; font-size: 0.7em;"> <tr> <th style="text-align: left;">Large Entity Fee Code</th> <th style="text-align: left;">Fee (\$)</th> <th style="text-align: left;">Small Entity Fee Code</th> <th style="text-align: left;">Fee (\$)</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee paid</th> </tr> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - Late filing fee or oath</td><td style="text-align: right;">*</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td style="text-align: right;">*</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td style="text-align: right;">*</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For Filing a Request for Reexamination</td><td style="text-align: right;">*</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td style="text-align: right;">*</td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td style="text-align: right;">*</td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td style="text-align: right;">*</td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td style="text-align: right;">*</td></tr> <tr><td>128</td><td>1,890</td><td>280</td><td>945</td><td>Extension for reply within fifth month</td><td style="text-align: right;">*</td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td style="text-align: right;">*</td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td style="text-align: right;">*</td></tr> <tr><td>148</td><td>110</td><td>248</td><td>55</td><td>Terminal Disclaimer Fee</td><td style="text-align: right;">*</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td style="text-align: right;">*</td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive - unintentional</td><td style="text-align: right;">*</td></tr> <tr><td>142</td><td>1,270</td><td>242</td><td>650</td><td>Utility/Reissue issue fee (inc. advance copies)</td><td style="text-align: right;">*</td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee (inc. advance copies)</td><td style="text-align: right;">*</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td style="text-align: right;">*</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td style="text-align: right;">*</td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Statement</td><td style="text-align: right;">*</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td style="text-align: right;">X</td></tr> <tr><td colspan="5">Other fee (specify) _____</td><td style="text-align: right;">*</td></tr> <tr><td colspan="5" style="text-align: right;">Subtotal (3) \$40.00</td></tr> </table>	Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee paid	105	130	205	65	Surcharge - Late filing fee or oath	*	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	*	139	130	139	130	Non-English specification	*	147	2,520	147	2,520	For Filing a Request for Reexamination	*	115	110	215	55	Extension for reply within first month	*	116	390	216	195	Extension for reply within second month	*	117	890	217	445	Extension for reply within third month	*	118	1,390	218	695	Extension for reply within fourth month	*	128	1,890	280	945	Extension for reply within fifth month	*	120	310	220	155	Filing a brief in support of an appeal	*	121	270	221	135	Request for oral hearing	*	148	110	248	55	Terminal Disclaimer Fee	*	140	110	240	55	Petition to revive - unavoidable	*	141	1,240	241	620	Petition to revive - unintentional	*	142	1,270	242	650	Utility/Reissue issue fee (inc. advance copies)	*	143	440	243	220	Design issue fee (inc. advance copies)	*	122	130	122	130	Petitions to the Commissioner	*	123	50	123	50	Petitions related to provisional applications	*	126	180	126	180	Submission of Information Disclosure Statement	*	581	40	581	40	Recording each patent assignment per property (times number of properties)	X	Other fee (specify) _____					*	Subtotal (3) \$40.00				
Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee paid																																																																																																																																					
105	130	205	65	Surcharge - Late filing fee or oath	*																																																																																																																																					
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	*																																																																																																																																					
139	130	139	130	Non-English specification	*																																																																																																																																					
147	2,520	147	2,520	For Filing a Request for Reexamination	*																																																																																																																																					
115	110	215	55	Extension for reply within first month	*																																																																																																																																					
116	390	216	195	Extension for reply within second month	*																																																																																																																																					
117	890	217	445	Extension for reply within third month	*																																																																																																																																					
118	1,390	218	695	Extension for reply within fourth month	*																																																																																																																																					
128	1,890	280	945	Extension for reply within fifth month	*																																																																																																																																					
120	310	220	155	Filing a brief in support of an appeal	*																																																																																																																																					
121	270	221	135	Request for oral hearing	*																																																																																																																																					
148	110	248	55	Terminal Disclaimer Fee	*																																																																																																																																					
140	110	240	55	Petition to revive - unavoidable	*																																																																																																																																					
141	1,240	241	620	Petition to revive - unintentional	*																																																																																																																																					
142	1,270	242	650	Utility/Reissue issue fee (inc. advance copies)	*																																																																																																																																					
143	440	243	220	Design issue fee (inc. advance copies)	*																																																																																																																																					
122	130	122	130	Petitions to the Commissioner	*																																																																																																																																					
123	50	123	50	Petitions related to provisional applications	*																																																																																																																																					
126	180	126	180	Submission of Information Disclosure Statement	*																																																																																																																																					
581	40	581	40	Recording each patent assignment per property (times number of properties)	X																																																																																																																																					
Other fee (specify) _____					*																																																																																																																																					
Subtotal (3) \$40.00																																																																																																																																										

FEE CALCULATION																																																																																										
<p><b>1. BASIC FILING FEE</b></p> <table style="width:100%; font-size: 0.7em;"> <tr> <th style="text-align: left;">Large Entity Fee Code</th> <th style="text-align: left;">Fee (\$)</th> <th style="text-align: left;">Small Entity Fee Code</th> <th style="text-align: left;">Fee (\$)</th> <th style="text-align: left;">Fee Description</th> </tr> <tr><td>101</td><td>710</td><td>201</td><td>355</td><td><input checked="" type="checkbox"/> Utility Filing Fee</td></tr> <tr><td>106</td><td>320</td><td>206</td><td>160</td><td><input type="checkbox"/> Design Filing Fee</td></tr> <tr><td>108</td><td>710</td><td>208</td><td>355</td><td><input type="checkbox"/> Reissue Filing Fee</td></tr> <tr><td>114</td><td>150</td><td>214</td><td>75</td><td><input type="checkbox"/> Prov. Filing Fee</td></tr> <tr><td colspan="5" style="text-align: right;">Subtotal (1) \$710.00</td></tr> </table> <p><b>2. EXTRA CLAIM FEES</b></p> <table style="width:100%; font-size: 0.7em;"> <tr> <th></th> <th>Number Claims</th> <th>Prior**</th> <th>Extra</th> <th>Fee from Below</th> <th>Fee Paid</th> </tr> <tr> <td>Total</td> <td>20</td> <td>-</td> <td>20 = 0</td> <td>x 0 = 0</td> <td></td> </tr> <tr> <td>Indep.</td> <td>4</td> <td>-</td> <td>3 = 1</td> <td>x 80 = 80</td> <td></td> </tr> <tr> <td>Multiple Dependent Claims</td> <td></td> <td></td> <td>* = *</td> <td></td> <td></td> </tr> </table> <p>**Insert 3 and 20, or number previously paid if greater; Reissue see below</p> <table style="width:100%; font-size: 0.7em;"> <tr> <th style="text-align: left;">Large Entity Fee Code</th> <th style="text-align: left;">Fee (\$)</th> <th style="text-align: left;">Small Entity Fee Code</th> <th style="text-align: left;">Fee (\$)</th> <th style="text-align: left;">Description</th> </tr> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td></tr> <tr><td>102</td><td>80</td><td>202</td><td>40</td><td>Independent claims in excess of 3</td></tr> <tr><td>104</td><td>270</td><td>204</td><td>135</td><td>Multiple Dependent Claim</td></tr> <tr><td>109</td><td>80</td><td>209</td><td>40</td><td>Reissue Independent Claims Over Original Patent</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>Reissue claims in excess of 20 and over original patent</td></tr> <tr><td colspan="5" style="text-align: right;">Subtotal (2) \$80.00</td></tr> </table>	Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	101	710	201	355	<input checked="" type="checkbox"/> Utility Filing Fee	106	320	206	160	<input type="checkbox"/> Design Filing Fee	108	710	208	355	<input type="checkbox"/> Reissue Filing Fee	114	150	214	75	<input type="checkbox"/> Prov. Filing Fee	Subtotal (1) \$710.00						Number Claims	Prior**	Extra	Fee from Below	Fee Paid	Total	20	-	20 = 0	x 0 = 0		Indep.	4	-	3 = 1	x 80 = 80		Multiple Dependent Claims			* = *			Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Description	103	18	203	9	Claims in excess of 20	102	80	202	40	Independent claims in excess of 3	104	270	204	135	Multiple Dependent Claim	109	80	209	40	Reissue Independent Claims Over Original Patent	110	18	210	9	Reissue claims in excess of 20 and over original patent	Subtotal (2) \$80.00					
Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description																																																																																						
101	710	201	355	<input checked="" type="checkbox"/> Utility Filing Fee																																																																																						
106	320	206	160	<input type="checkbox"/> Design Filing Fee																																																																																						
108	710	208	355	<input type="checkbox"/> Reissue Filing Fee																																																																																						
114	150	214	75	<input type="checkbox"/> Prov. Filing Fee																																																																																						
Subtotal (1) \$710.00																																																																																										
	Number Claims	Prior**	Extra	Fee from Below	Fee Paid																																																																																					
Total	20	-	20 = 0	x 0 = 0																																																																																						
Indep.	4	-	3 = 1	x 80 = 80																																																																																						
Multiple Dependent Claims			* = *																																																																																							
Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Description																																																																																						
103	18	203	9	Claims in excess of 20																																																																																						
102	80	202	40	Independent claims in excess of 3																																																																																						
104	270	204	135	Multiple Dependent Claim																																																																																						
109	80	209	40	Reissue Independent Claims Over Original Patent																																																																																						
110	18	210	9	Reissue claims in excess of 20 and over original patent																																																																																						
Subtotal (2) \$80.00																																																																																										

Signature David R. Fairbairn Reg. No. 26,047

Date 10/2/01 Deposit Account No. 11-0982